

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 96012 / October 11, 2022

WHISTLEBLOWER AWARD PROCEEDING
File No. 2023-01

In the Matter of the Claim for an Award

in connection with

Redacted

Notice of Covered Action ^{Redacted}

ORDER DETERMINING WHISTLEBLOWER AWARD CLAIM

The Claims Review Staff (“CRS”) issued a Preliminary Determination in connection with the above-referenced Covered Action (the “Covered Action”) recommending that ^{Redacted} (“Claimant”) receive a whistleblower award in the amount of ^{Redacted} percent (^{Redacted} %) of the monetary sanctions collected for a payout of approximately \$825,000. Claimant provided written notice of Claimant’s decision not to contest the Preliminary Determination.

The recommendation of the CRS is adopted. The record demonstrates that Claimant voluntarily provided original information to the Commission that led to the successful enforcement of the Covered Action.¹

Redacted

¹ See Exchange Act Section 21F(b)(1), 15 U.S.C. § 78u-6(b)(1); Exchange Act Rule 21F-3(a), 17 C.F.R. § 240.21F-3(a).

Redacted

Redacted Claimant, Redacted expeditiously provided detailed information that prompted the opening of the investigation and thereafter met with Commission staff in person and provided additional information after submitting the initial TCR. Redacted

Accordingly, it is ORDERED that Claimant shall receive an award of Redacted percent (** %) of the monetary sanctions collected or to be collected in the Covered Action.

By the Commission.


Vanessa A. Countryman
Secretary