

UNITED STATES OF AMERICA

before the

SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934

Release No. 95291 / July 15, 2022

WHISTLEBLOWER AWARD PROCEEDING

File No. 2022-64

In the Matter of the Claim for Award

in connection with

Redacted

Notice of Covered Action Redacted

ORDER DETERMINING WHISTLEBLOWER AWARD CLAIM

The Claims Review Staff (“CRS”) issued a Preliminary Determination recommending that ^{Redacted} (“Claimant”) receive a whistleblower award of more than \$3,000,000, which is equal to ^{Redacted} percent (^{***} %) of the amount collected in the above-referenced Covered Action (“Covered Action”).

The recommendation of the CRS is adopted. The record demonstrates that Claimant voluntarily provided original information to the Commission and that this original information led to the successful enforcement of the Covered Action.¹

Redacted

¹ See Exchange Act Section 21F(b)(1), 15 U.S.C. § 78u-6(b)(1); Exchange Act Rule 21F-3, 17 C.F.R. § 240.21F-3.

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In coming to this conclusion, the Commission considered that Claimant, an outside professional, was solicited to invest in a product that Claimant believed was being misrepresented. Claimant expeditiously contacted Commission staff to alert them of the conduct. Claimant's information prompted the opening of an investigation, and thereafter, Claimant communicated with Commission staff concerning Claimant's allegations.

Accordingly, it is hereby ORDERED that Claimant shall receive an award of ^{Redacted} percent (^{***} %) of the monetary sanctions collected or to be collected in the Covered Action.

By the Commission.

Jill M. Peterson
Assistant Secretary

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