

B. Roker - Held NMS Stocks / Exempt Not-Held NMS stocks / Options

Customer Routing Report

Generated on Mon Jun 01 2020 18:55:48 GMT-0400 (Eastern Daylight Time)

For C. Shtumer

Reporting Period 2019-12-01 to 2020-05-31

Orders - Held NMS Stocks

Order ID	Type	Venue	Time of Transaction (UTC)
90889133	Directed	TYSX	2019-12-25 07:05:22
5049232997	Non-Directed	MXPT	2020-04-01 22:55:48
		ATS ONE	2020-04-01 22:55:49
9080289134	Non-Directed		
148427393	Directed	NYSE	2020-04-01 22:55:49
		NYSE	2020-04-01 22:55:50
3192665	Directed	TYSX	2020-04-02 03:02:52
3719384	Directed		
3719385	Directed	TYSX	2020-05-12 03:02:53

Orders - Exempt Not-Held NMS Stocks

Order ID	Type	Venue	Time of Transaction (UTC)
90889133	Directed	TYSX	2019-12-25 07:05:22
5049232997	Non-Directed	MXPT	2020-04-01 22:55:48
		ATS ONE	2020-04-01 22:55:49
9080289134	Non-Directed		
148427393	Directed	NYSE	2020-04-01 22:55:49
		NYSE	2020-04-01 22:55:50
3192665	Directed	TYSX	2020-04-02 03:02:52
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Orders - Options

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