

U.S. Securities and Exchange Commission

Compliance Report on Implementation of the Plain Writing Act of 2010

April 10, 2023

Summary of steps taken by the SEC to implement the Plain Writing Act of 2010 (Act):

- Lori J. Schock, Director, Office of Investor Education and Advocacy, was designated as the Senior Agency Official responsible for plain writing.
- The agency created a designated webpage about the SEC's Plain Writing Initiative at <http://www.sec.gov/plainwriting.shtml>.
- The agency created an email box for public comments on the SEC's plain writing efforts at PlainWriting@sec.gov.
- The agency provided information on the requirements of the Act to SEC staff agency-wide and posted the information on the SEC's intranet.
- From April 1, 2022, through March 31, 2023, the SEC offered 48 different courses to SEC staff that included a "plain language" component, and over 530 SEC staff completed the training.
- The agency posted training materials about plain writing from PLAIN and other government agencies on the SEC's intranet.