

**U.S. Securities and Exchange Commission**

**Compliance Report on Implementation of the Plain Writing Act of 2010**

**April 11, 2022**

**Summary of steps taken by the SEC to implement the Plain Writing Act of 2010 (Act):**

- Lori J. Schock, Director, Office of Investor Education and Advocacy, was designated as the Senior Agency Official responsible for plain writing.
- The agency created a designated webpage about the SEC’s Plain Writing Initiative at <http://www.sec.gov/plainwriting.shtml>.
- The agency created an email box for public comments on the SEC’s plain writing efforts at [PlainWriting@sec.gov](mailto:PlainWriting@sec.gov).
- The agency provided information on the requirements of the Act to SEC staff agency-wide and posted the information on the SEC’s intranet.
- From April 1, 2021, through March 31, 2022, the SEC offered 45 different courses to SEC staff that included a “plain language” component, and over 440 SEC staff completed the training.
- The agency posted training materials about plain writing from PLAIN and other government agencies on the SEC’s intranet.