

UNITED STATES DISTRICT COURT  
DISTRICT OF MASSACHUSETTS

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

Frederick L. Sharp, *et al.*,

Defendants.

Case No. 1:21-cv-11276-WGY

~~PROPOSED~~ <sup>11/24</sup> **ORDER ENTERING JUDGMENT AS TO DEFENDANT PAUL SEXTON**

The Securities and Exchange Commission having filed an Amended Complaint and Defendant Paul Sexton (“Mr. Sexton”) have entered a general appearance; consented to the Court’s jurisdiction over him and the subject matter of this action; and declined to oppose entry of this judgment:

I.

**IT IS HEREBY ORDERED, ADJUDGED, AND DECREED** that Mr. Sexton is liable under Counts I–IV of the Amended Complaint (ECF No. 230).

II.

**IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED** that the Court may elect to impose remedies, including an injunction, a bar, a civil monetary penalty, and disgorgement. In connection with the determination of remedies, the Court may make a decision based on briefing by the parties and, if necessary, a hearing to resolve any material factual disputes. In connection with the Court’s determination of the appropriate remedies, if any, Mr. Sexton will not contest liability under the claims filed against him by the Securities and Exchange

Commission. However, Mr. Sexton will be permitted to oppose the Securities and Exchange Commission's requested relief, including any calculations thereof.

III.

**IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED** that nothing in this judgment or in connection with Mr. Sexton's decision to decline opposing entry of judgment shall constitute a waiver of Mr. Sexton's rights under the Fifth Amendment.

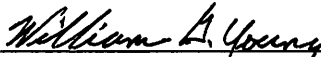
IV.

**IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED** that this Court shall retain jurisdiction over this matter.

V.

There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this judgment forthwith and without further notice.

Done and Ordered in chambers this 12<sup>TH</sup> day of September 2023.

  
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Hon. William G. Young  
United States District Court Judge