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**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA**

**SECURITIES AND EXCHANGE
COMMISSION,**

Plaintiff,

vs.

**ALEX DUAIN FORESTER, an
individual; MICHAEL ROBERT
HICKS, an individual; YARDEN
MOSHE MONY KRAMPF, an
individual; CHRISTOPHER
BYUNGIN LEE, an individual;
SEAN ANDREW O'NEAL, an
individual; MICHAEL ROY
RAYNOR, an individual; and, LEE
SOBEL, an individual,**

Defendants.

Case No.: CV 20-9813 DMG (AFMx)

**JUDGMENT AS TO DEFENDANTS
ALEX DUAIN FORESTER,
MICHAEL ROBERT HICKS, AND
YARDEN MOSHE MONY KRAMPF
[11, 12, 13]**

1 The Securities and Exchange Commission having filed a Complaint, and
2 Defendants Alex Duain Forester, Michael Robert Hicks, and Yarden Moshe Mony
3 Krampf (hereinafter referred to collectively as “Defendants”) having entered a
4 general appearance; consented to the Court’s jurisdiction over Defendants and the
5 subject matter of this action; consented to entry of this Judgment without admitting
6 or denying the allegations of the Complaint (except as to jurisdiction and except as
7 otherwise provided herein in paragraph IV); waived findings of fact and
8 conclusions of law; and waived any right to appeal from this Judgment:
9

10 I.

11 IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that the
12 aforementioned Defendants are permanently restrained and enjoined from violating,
13 directly or indirectly, Section 15(a)(1) of the Securities Exchange Act of 1934
14 (“Exchange Act”) [15 U.S.C. § 78o(a)(1)] by making use of the mails or any means
15 or instrumentality of interstate commerce, to effect any transactions in, or to induce
16 or attempt to induce the purchase or sale of any security (other than an exempt
17 security or commercial paper, bankers’ acceptance, or commercial bills) unless
18 Defendants are registered in accordance with Section 15(b) of the Exchange Act [15
19 U.S.C. § 778o(b)].
20

21 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as
22 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also
23 binds the following who receive actual notice of this Judgment by personal service
24 or otherwise: (a) Defendants’ officers, agents, servants, employees, and attorneys;
25 and (b) other persons in active concert or participation with Defendants or with
26 anyone described in (a).
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2 II.

3 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that pursuant
4 to Section 21(d)(5) of the Exchange Act [15 U.S.C. § 78u(d)(5)], Defendants are
5 permanently restrained and enjoined from, directly or indirectly, including, but not
6 limited to, through any entity owned or controlled by Defendants, soliciting any
7 person or entity to purchase or sell any security.

8 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as
9 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also
10 binds the following who receive actual notice of this Judgment by personal service
11 or otherwise: (a) Defendants' officers, agents, servants, employees, and attorneys;
12 and (b) other persons in active concert or participation with Defendants or with
13 anyone described in (a).

14
15 III.

16 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED
17 that Defendants shall pay civil penalties pursuant to Section 21(d)(3) of the
18 Exchange Act [15 U.S.C. § 78u(d)(3)]. The Court shall determine the amount of
19 the civil penalties upon motion of the Commission. In connection with the
20 Commission's motion for civil penalties, and at any hearing held on such a motion:
21 (a) Defendants will be precluded from arguing that they did not violate the federal
22 securities laws as alleged in the Complaint; (b) Defendants may not challenge the
23 validity of the Consent or this Judgment; (c) solely for the purposes of such
24 motion, the allegations of the Complaint shall be accepted as and deemed true by
25 the Court; and (d) the Court may determine the issues raised in the motion on the
26 basis of affidavits, declarations, excerpts of sworn deposition or investigative
27 testimony, and documentary evidence, without regard to the standards for
28 summary judgment contained in Rule 56(c) of the Federal Rules of Civil

1 Procedure. In connection with the Commission’s motion for civil penalties, the
2 parties may take discovery, including discovery from appropriate non-parties.

3 IV.

4 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, solely
5 for purposes of exceptions to discharge set forth in Section 523 of the Bankruptcy
6 Code, 11 U.S.C. §523, the allegations in the complaint are true and admitted by
7 Defendants, and further, any debt for civil penalties or other amounts due by
8 Defendants under this Judgment or any other judgment, order, consent order,
9 decree or settlement agreement entered in connection with this proceeding, is a
10 debt for the violation by Defendants of the federal securities laws or any regulation
11 or order issued under such laws, as set forth in Section 523(a)(19) of the
12 Bankruptcy Code, 11 U.S.C. §523(a)(19).

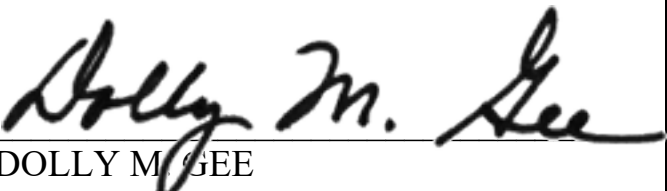
13 V.

14 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this
15 Court shall retain jurisdiction of this matter for the purposes of enforcing the terms
16 of this Judgment.
17

18 VI.

19 There being no just reason for delay, pursuant to Rule 54(b) of the Federal
20 Rules of Civil Procedure, the Clerk is ordered to enter this Judgment forthwith and
21 without further notice.

22 DATED: December 27, 2021

23 
24 DOLLY M. GEE
25 UNITED STATES DISTRICT JUDGE
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