

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF CALIFORNIA**

SECURITIES AND EXCHANGE  
COMMISSION,  
  
Plaintiff,  
  
v.  
  
ONGKARUCK SRIPETCH; AMANDA  
FLORES; BREHNEN KNIGHT;  
ANDREW MCALPINE, ASHMIT  
PATEL; MICHAEL WEXLER;  
DOMINIC WILLIAMS; ADTRON INC.  
a/k/a STOCKPALOOZA.COM; ATG  
INC.; DOIT, LTD.; DOJI CAPITAL,  
INC.; KING MUTUAL SOLUTIONS  
INC.; OPTIMUS PRIME FINANCIAL  
INC.; ORCA BRIDGE; REDLINE  
INTERNATIONAL; and UAIM  
CORPORATION,  
  
Defendants.

Case No.: 20-cv-01864-H-AGS  
  
**CONSENT JUDGMENT AS TO  
DEFENDANT AMANDA FLORES**

The Securities and Exchange Commission having filed a complaint and Defendant Amanda Flores having entered a general appearance; consented to the Court’s jurisdiction over Defendant and the subject matter of this action; consented to entry of this Judgment without admitting or denying the allegations of the complaint (except as to jurisdiction and

1 except as otherwise provided herein in paragraph VIII); waived findings of fact and  
2 conclusions of law; and waived any right to appeal from this Judgment:

3 I.

4 It Is Hereby Ordered, Adjudged, And Decreed that Defendant Flores is permanently  
5 restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities  
6 Exchange Act of 1934 (the “Exchange Act”) [15 U.S.C. § 78j(b)] and Rules 10b-5(a) and  
7 (c) promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or  
8 instrumentality of interstate commerce, or of the mails, or of any facility of any national  
9 securities exchange, in connection with the purchase or sale of any security:

10 (a) to employ any device, scheme, or artifice to defraud; or

11 (b) to engage in any act, practice, or course of business which operates or would  
12 operate as a fraud or deceit upon any person.

13 IT IS FURTHER ORDERED, Adjudged, And Decreed that, as provided in Federal  
14 Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the following who  
15 receive actual notice of this Judgment by personal service or otherwise: (a) Defendant  
16 Flores’s officers, agents, servants, employees, and attorneys; and (b) other persons in active  
17 concert or participation with Defendant Flores or with anyone described in (a).

18 II.

19 It Is HEREBY Further Ordered, Adjudged, And Decreed that Defendant Flores is  
20 permanently restrained and enjoined from violating Sections 17(a)(1) and (3) of the  
21 Securities Act of 1933 (the “Securities Act”) [15 U.S.C. § 77q(a)] in the offer or sale of  
22 any security by the use of any means or instruments of transportation or communication in  
23 interstate commerce or by use of the mails, directly or indirectly:

24 (a) to employ any device, scheme, or artifice to defraud; or

25 (b) to engage in any transaction, practice, or course of business which operates or  
26 would operate as a fraud or deceit upon the purchaser.

27 IT IS FURTHER ORDERED, Adjudged, And Decreed that, as provided in Federal  
28 Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the following who

1 receive actual notice of this Judgment by personal service or otherwise: (a) Defendant  
2 Flores's officers, agents, servants, employees, and attorneys; and (b) other persons in active  
3 concert or participation with Defendant Flores or with anyone described in (a).

4 III.

5 It Is HEREBY Further Ordered, Adjudged, And Decreed that Defendant Flores is  
6 permanently restrained and enjoined from violating Sections 5(a) and (c) of the Securities  
7 Act [15 U.S.C. § 77e] by, directly or indirectly, in the absence of any applicable exemption:

- 8 (a) Unless a registration statement is in effect as to a security, making use of any  
9 means or instruments of transportation or communication in interstate  
10 commerce or of the mails to sell such security through the use or medium of  
11 any prospectus or otherwise; or  
12 (b) Making use of any means or instruments of transportation or communication  
13 in interstate commerce or of the mails to offer to sell or offer to buy through  
14 the use or medium of any prospectus or otherwise any security, unless a  
15 registration statement has been filed with the Commission as to such security,  
16 or while the registration statement is the subject of a refusal order or stop order  
17 or (prior to the effective date of the registration statement) any public  
18 proceeding or examination under Section 8 of the Securities Act [15 U.S.C. §  
19 77h].

20 IT IS FURTHER ORDERED, Adjudged, And Decreed that, as provided in Federal  
21 Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the following who  
22 receive actual notice of this Judgment by personal service or otherwise: (a) Defendant  
23 Flores's officers, agents, servants, employees, and attorneys; and (b) other persons in active  
24 concert or participation with Defendant Flores or with anyone described in (a).

25 IV.

26 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, pursuant to  
27 Section 21(d)(2) of the Exchange Act [15 U.S.C. § 78u(d)(2)] and Section 20(e) of the  
28 Securities Act [15 U.S.C. § 77t(e)], Defendant Flores is prohibited from acting as an officer

1 or director of any issuer that has a class of securities registered pursuant to Section 12 of  
2 the Exchange Act [15 U.S.C. § 78I] or that is required to file reports pursuant to Section  
3 15(d) of the Exchange Act [15 U.S.C. § 78o(d)].

4 V.

5 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that  
6 Defendant Flores is permanently barred from participating in an offering of penny stock,  
7 including engaging in activities with a broker, dealer, or issuer for purposes of issuing,  
8 trading, or inducing or attempting to induce the purchase or sale of any penny stock. A  
9 penny stock is any equity security that has a price of less than five dollars, except as  
10 provided in Rule 3a51-1 under the Exchange Act [17 C.F.R. 240.3a51-1].

11 VI.

12 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that  
13 Defendant Flores shall pay disgorgement of ill-gotten gains, prejudgment interest thereon,  
14 and a civil penalty pursuant to Section 20(d) of the Securities Act [15 U.S.C. § 77t(d)] and  
15 Section 21(d)(3) of the Exchange Act [15 U.S.C. § 78u(d)(3)]. The Court shall determine  
16 the amounts of the disgorgement and civil penalty upon motion of the Commission.  
17 Prejudgment interest shall be calculated from August 15, 2013, based on the rate of interest  
18 used by the Internal Revenue Service for the underpayment of federal income tax as set  
19 forth in 26 U.S.C. § 6621(a)(2). In connection with the Commission's motion for  
20 disgorgement and/or civil penalties, and at any hearing held on such a motion: (a)  
21 Defendant Flores will be precluded from arguing that she did not violate the federal  
22 securities laws as alleged in the Complaint; (b) Defendant Flores may not challenge the  
23 validity of the Consent or this Judgment; (c) solely for the purposes of such motion, the  
24 allegations of the Complaint shall be accepted as and deemed true by the Court; and (d) the  
25 Court may determine the issues raised in the motion on the basis of affidavits, declarations,  
26 excerpts of sworn deposition or investigative testimony, and documentary evidence,  
27 without regard to the standards for summary judgment contained in Rule 56(c) of the  
28 Federal Rules of Civil Procedure. In connection with the Commission's motion for

1 disgorgement and/or civil penalties, the parties may take discovery, including discovery  
2 from appropriate non-parties.

3 VII.

4 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the Consent is  
5 incorporated herein with the same force and effect as if fully set forth herein, and that  
6 Defendant Flores shall comply with all of the undertakings and agreements set forth  
7 therein.

8 VIII.

9 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, solely for  
10 purposes of exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11  
11 U.S.C. §523, the allegations in the complaint are true and admitted by Defendant Flores,  
12 and further, any debt for disgorgement, prejudgment interest, civil penalty or other amounts  
13 due by Defendant Flores under this Judgment or any other judgment, order, consent order,  
14 decree or settlement agreement entered in connection with this proceeding, is a debt for the  
15 violation by Defendant Flores of the federal securities laws or any regulation or order  
16 issued under such laws, as set forth in Section 523(a)(19) of the Bankruptcy Code, 11  
17 U.S.C. §523(a)(19).

18 IX.

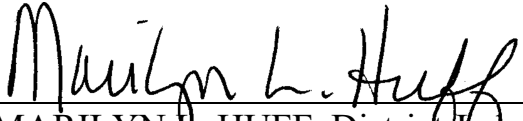
19 It Is Further Ordered, Adjudged, And Decreed that this Court shall retain jurisdiction  
20 of this matter for the purposes of enforcing the terms of this Judgment.

21 X.

22 There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of  
23 Civil Procedure, the Clerk is ordered to enter this Judgment forthwith and without further  
24 notice.

25 **IT IS SO ORDERED.**

26 DATED: August 9, 2023

27   
28 MARILYN E. HUFF, District Judge  
UNITED STATES DISTRICT COURT