



**MarkitSERV LLC**

**Application for exemption from registration as a clearing agency (Form CA-1)**

## **EXHIBIT D**

**Control structure**

(Revised November 26, 2025)

**EXHIBIT D**  
**(Revised November 26, 2025)**

**Exhibit Request:**

Attach as Exhibit D a list of persons who directly or indirectly, through one or more intermediaries, are controlled by, or are under common control with, the clearing agency and indicate the nature of the control relationship.

**Response:**

Attached as Exhibit D-1 is an updated ownership structure chart for MarkitServ LLC reflecting the completion of a transaction in which Osttra Group was acquired by funds and other vehicles controlled by KKR. The ownership structure reflected in the chart includes a broader group of companies with additional indirect level of upstream ownership included. Also, the legal names of certain entities have changed following the transaction and MarkitServ LLC is now known as OSTTRA Services LLC. (For convenience, apart from showing the current legal names in revised Exhibit D-1, we continue to use MarkitSERV and MarkitSERV LLC to refer to the applicant throughout the application materials.)