



Diversity Self-Assessment Tool for Entities Regulated by the SEC

How to Complete and Submit the Diversity Self-Assessment Tool for Entities Regulated By the SEC

Before you begin, please select “File” and then “Save as” to save a copy of the Diversity Self-Assessment Tool to your local drive.

You should submit the completed Diversity Self-Assessment Tool and any additional documentation electronically to DAR@sec.gov

Diversity Self-Assessment Tools may also be mailed to:

U.S. Securities and Exchange Commission
Office of Minority and Women Inclusion
100 F St. N.E.
Washington D.C. 20549