

B. Roker - Held NMS Stocks

Generated on Mon Jun 01 2020 18:55:48 GMT-0400 (Eastern Daylight Time)

For C. Schtumer

Reporting Period 2019-12-01 to 2020-05-31

Orders - Held NMS Stocks

| Order ID | Type | Venue | Time of Transaction (UTC) |
|---------------|--------------|------------|---------------------------|
| 90889133 | Directed | TYSX | 2019-12-25 07:05:22 |
| | | IMHO | 2019-12-25 07:05:22 |
| | | | 2019-12-26 08:05:22 |
| | | | 2019-12-27 09:05:22 |
| | | | 2019-12-28 10:05:22 |
| 5049...232997 | Non-Directed | YW. | - |
| | | RTFL | - |
| | | FB. (FB99) | 2019-12-25 07:05:22 |
| 9080289134 | Non-Directed | YWTS | 2019-12-25 07:05:22 |
| 148427393 | Directed | RTFL | - |
| 3192665 | Directed | IMHO | 2019-12-25 07:05:22 |
| | | | 2019-12-25 07:05:22 |
| 3719384 | Directed | JTLK | 2019-12-25 07:05:22 |
| 3719385 | Directed | BTAM | 2019-12-25 07:05:22 |