

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

INVESTMENT ADVISERS ACT OF 1940
Release No. 6910 / August 26, 2025

Admin. Proc. File No. 3-22307

In the Matter of EPIC CAPITAL WEALTH ADVISORS, LLC

ORDER GRANTING PETITION FOR REVIEW AND SCHEDULING BRIEFS

Under Commission Rule of Practice 411,¹ the petition of the Division of Enforcement for review of the administrative law judge's initial decision,² is granted. With its petition, the Division submitted a proposed expedited briefing schedule,³ but Epic Capital Wealth Advisors, LLC, did not file an opposition to that schedule.⁴

Accordingly, IT IS ORDERED, under Rule of Practice 450(a),⁵ that the Division's proposed schedule is adopted and that the Division shall file a brief in support of its petition for review by August 29, 2025, Epic Capital shall file a brief in opposition by September 12, 2025, and the Division shall file any reply brief by September 19, 2025.

As provided by Rule 450(a), no briefs in addition to those specified in this schedule may be filed without leave of the Commission. Under Rule of Practice 180(c),⁶ a party's failure to

¹ 17 C.F.R. § 201.411.

² *Epic Capital Wealth Advisors, LLC*, Initial Decision Release No. 1417, 2025 WL 2388717 (Aug. 8, 2025).

³ *See Epic Capital Wealth Advisors, LLC*, Advisers Act Release No. 6834, 2025 WL 296102 (Jan. 23, 2025) ("Upon receiving any petition for review of the initial decision to be issued by the presiding hearing officer, the Commission shall set an expedited briefing schedule for the appeal.").

⁴ *See Epic Capital Wealth Advisors, LLC*, Advisers Act Release No. 6909, 2025 WL 2417104 (Aug. 19, 2025) (order requesting, in light of the Division's statement that Epic opposed its briefing schedule dates, additional briefing on those dates).

⁵ 17 C.F.R. § 201.450(a).

⁶ *Id.* § 201.180(c).

file a brief required by this order may result in dismissal of this review proceeding or the determination of the claims at issue against the party.

The parties' attention is directed to the Commission's Rules of Practice governing content and length limitations⁷ and with respect to form and service.⁸ Attention is further directed to the e-filing requirements in the Rules of Practice.⁹ We also remind the parties that any document filed with the Commission must also be served upon all participants in this proceeding and be accompanied by a certificate of service.¹⁰

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

⁷ Rule of Practice 450(b) and (c), 17 C.F.R. § 201.450(b) & (c).

⁸ Rules of Practice 150-153, 17 C.F.R. §§ 201.150-153.

⁹ See Rules of Practice 151, 152(a), 17 C.F.R. §§ 201.151, .152(a) (providing procedure for filing papers with the Commission and mandating electronic filing in the form and manner posted on the Commission's website); *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. Parties generally also must certify that they have redacted or omitted sensitive personal information from any filing. Rule of Practice 151(e), 17 C.F.R. § 201.151(e).

¹⁰ See Rule of Practice 150, 17 C.F.R. § 201.150 (requiring parties generally to serve each other with their filings); Rule of Practice 151(d), 17 C.F.R. § 201.151(d) ("Papers filed with the Commission . . . shall be accompanied by a certificate stating the name of the person or persons served, the date of service, the method of service, and the mailing address or email address to which service was made, if not made in person.").