

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 103248 / June 13, 2025

Admin. Proc. File No. 3-21974

In the Matter of

DAVID H. GOLDMAN

ORDER DIRECTING STATUS REPORTS

On June 18, 2024, the Securities and Exchange Commission issued an order instituting proceedings against David H. Goldman under Section 15(b) of the Securities Exchange Act of 1934.¹ The parties held a prehearing conference on February 28, 2025, and filed a joint statement regarding it on March 13, 2025. In their joint statement, the parties indicated that the Division of Enforcement anticipated filing a motion for summary disposition if the matter does not settle and that the parties agreed to deadlines for filing opposition and reply briefs to such a motion. To date, no settlement order has been issued and no motion for summary disposition has been filed.

Accordingly, IT IS ORDERED that the parties file a status report concerning settlement efforts, or, if it does not appear a settlement will be reached, proposing a date by which the Division shall file any motion for summary disposition, by July 14, 2025, and every 28 days thereafter.

¹ *David H. Goldman*, Exchange Act Release No. 100382, 2024 WL 3054128 (June 18, 2024).

The parties' attention is directed to the e-filing requirements in the Rules of Practice.² We also remind the parties that any document filed with the Commission must be served upon all participants in the proceeding and be accompanied by a certificate of service.³

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

² See Rules of Practice 151, 152(a), 17 C.F.R. §§ 201.151, .152(a) (providing procedure for filing papers with the Commission and mandating electronic filing in the form and manner posted on the Commission's website); *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. Parties generally also must certify that they have redacted or omitted sensitive personal information from any filing. Rule of Practice 151(e), 17 C.F.R. § 201.151(e).

³ See Rule of Practice 150, 17 C.F.R. § 201.150 (generally requiring parties to serve each other with their filings); Rule of Practice 151(d), 17 C.F.R. § 201.151(d) (requiring "a certificate stating the name of the person or persons served, the date of service, the method of service, and the mailing address or email address to which service was made, if not made in person").