

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 102876 / April 17, 2025

Admin. Proc. File No. 3-22456

In the Matter of the Application of

RONALD MOSCHETTA

For Review of Action Taken by

FINRA

ORDER REQUESTING ADDITIONAL BRIEFING

In February 2025, Ronald Moschetta, who is pro se, filed a document with the Commission requesting “review of the permanent bar imposed on [his] record in 2013 by FINRA.” On March 25, 2025, FINRA filed a motion to dismiss. To date, Moschetta has not responded to this motion.

Under the circumstances, IT IS ORDERED that Moschetta file any brief in opposition to FINRA’s motion to dismiss by April 24, 2025. Moschetta’s failure to file a brief in opposition may result in the Commission treating FINRA’s motion as unopposed, or Moschetta’s request as abandoned, and dismissing the proceeding. FINRA may file a reply brief by April 29, 2025.

The parties’ attention is directed to the Commission’s Rules of Practice governing length limitations.¹ Attention is further directed to the e-filing requirements in the Rules of Practice.²

¹ See Rule of Practice 154(c), 17 C.F.R. § 201.154(c) (governing length); *see also* Rule of Practice 152, 17 C.F.R. § 201.152 (governing form).

² See Rules of Practice 151, 152(a), 17 C.F.R. §§ 201.151, .152(a) (providing procedure for filing papers with the Commission and mandating electronic filing in the form and manner posted on the Commission’s website); *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. Parties generally also must certify that they have redacted or omitted sensitive personal information from any filing. Rule of Practice 151(e), 17 C.F.R. § 201.151(e).

And we remind the parties that any document filed with the Commission must also be served upon all participants in this proceeding and be accompanied by a certificate of service.³

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

³ See Rule of Practice 150, 17 C.F.R. § 201.150 (requiring parties generally to serve each other with their filings); Rule of Practice 151(d), 17 C.F.R. § 201.151(d) (“Papers filed with the Commission . . . shall be accompanied by a certificate stating the name of the person or persons served, the date of service, the method of service, and the mailing address or email address to which service was made, if not made in person.”).