

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 102684 / March 14, 2025

INVESTMENT ADVISERS ACT OF 1940
Release No. 6866 / March 14, 2025

Admin. Proc. File No. 3-21963

In the Matter of JOSEPH ANDREW PAUL
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ORDER GRANTING EXTENSION OF TIME

On June 12, 2024, the Securities and Exchange Commission issued an order instituting administrative proceedings (“OIP”) against Joseph Andrew Paul pursuant to Section 15(b) of the Securities Exchange Act of 1934 and Section 203(f) of the Investment Advisers Act of 1940.¹ When Paul failed to answer the OIP, the Division of Enforcement filed a motion for default and imposition of sanctions. Subsequently, the Commission issued an order directing the parties to file additional briefing and materials.² The Division now seeks an extension of time in which to submit the requested materials. It represents that it has moved in the underlying district court proceeding for an order unsealing relevant portions of the record and is awaiting disposition of that motion.

Under the circumstances, it appears there is good cause to grant the extension sought.³ Accordingly it is ORDERED that the Division of Enforcement’s deadline to respond to the Commission’s order directing additional briefing and materials is extended to April 18, 2025, and Paul’s deadline to respond to the Division’s filing is extended to May 16, 2025.

¹ *Joseph Andrew Paul*, Exchange Act Release No. 100323, 2024 WL 2958721 (June 12, 2024).

² *Joseph Andrew Paul*, Exchange Act Release No. 102406, 2025 WL 474302 (Feb. 12, 2025).

³ See Rule of Practice 161(a), 17 C.F.R. § 201.161(a); *see also Pending Administrative Proceedings*, Exchange Act Release No. 88415, 2020 WL 1322001 (Mar. 18, 2020) (providing that, pending further order of the Commission, reasonable requests for extensions of time will not be disfavored as stated in Rule of Practice 161).

The parties' attention is directed to the e-filing requirements in the Rules of Practice.⁴ We also remind the parties that any document filed with the Commission must be served upon all participants in the proceeding and be accompanied by a certificate of service.⁵

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

⁴ See Rules of Practice 151, 152(a), 17 C.F.R. §§ 201.151, .152(a) (providing procedure for filing papers with the Commission and mandating electronic filing in the form and manner posted on the Commission's website); *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. Parties generally also must certify that they have redacted or omitted sensitive personal information from any filing. Rule of Practice 151(e), 17 C.F.R. § 201.151(e).

⁵ See Rule of Practice 150, 17 C.F.R. § 201.150 (generally requiring parties to serve each other with filings); Rule of Practice 151(d), 17 C.F.R. § 201.151(d) ("Papers filed with the Commission . . . shall be accompanied by a certificate stating the name of the person or persons served, the date of the service, the method of service, and the mailing address or email address to which service was made, if not made in person.").