

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 102129 / January 7, 2025

Admin. Proc. File No. 3-21944

In the Matter of

GEORGE RAUCH (F/K/A GEORGE B. FASCIANO)

ORDER DISCHARGING ORDER TO SHOW CAUSE AND EXTENDING TIME TO FILE
ANSWER

On May 20, 2024, the Securities and Exchange Commission issued an order instituting administrative proceedings against George Rauch (f/k/a George B. Fasciano) under Section 15(b) of the Securities Exchange Act of 1934.¹ On July 23, 2024, the Commission received a letter from Rauch, acknowledging receipt of the OIP, but requesting an extension of time to file his answer to the OIP. Although the Commission granted that request in an order dated July 30, 2024 (the “Extension Order”),² Rauch did not file an answer to the OIP. On December 9, 2024, we ordered Rauch to show cause by December 23, 2024, why he should not be held in default and the proceeding determined against him.³

On December 23, 2024, Rauch filed a letter with the Office of the Secretary stating that he had not received the Extension Order and that he “had been waiting to hear whether [he] had been approved for an extension so that [he] could begin preparing [his] defense.” The Extension Order was served on Rauch by certified mail to the address Rauch provided in his July 23 letter,

¹ *George Rauch (f/k/a George B. Fasciano)*, Exchange Act Release No. 100184, 2024 WL 2289229 (May 20, 2024).

² *George Rauch (f/k/a George B. Fasciano)*, Exchange Act Release No. 100619, 2024 WL 3582241 (July 30, 2024).

³ *George Rauch (f/k/a George B. Fasciano)*, Exchange Act Release No. 101849, 2024 WL 5046209 (Dec. 9, 2024).

which differed from the address at which he was served with the OIP.⁴ Rauch now states that his correct mailing address is the address at which he was served with the OIP, and not the address Rauch provided in his July 23 letter.

Given Rauch's filings and the circumstances of this case, IT IS ORDERED that the order to show cause be discharged, and that Rauch will not be deemed in default at this time. In addition, IT IS ORDERED that the deadline for Rauch to file an answer to the OIP is further extended to February 4, 2025. Rauch is reminded that a failure to file an answer could result in the Commission deeming him in default and determining the proceedings against him.⁵

The parties' attention is directed to the e-filing requirements in the Rules of Practice.⁶ We also remind the parties that any document filed with the Commission must be served upon all participants in the proceeding and be accompanied by a certificate of service.⁷

Upon review of the filings in response to this order, the Commission will either direct further proceedings by subsequent order or issue a final opinion and order resolving the matter.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

⁴ See Rules of Practice 141(b), 150(c)(2), 17 C.F.R. §§ 201.141(b), 150(c)(2) (permitting service of orders other than an order instituting proceeding by mailing the papers through the U.S. Postal Service by certified mail).

⁵ *Rauch*, 2024 WL 2289229, at *2.

⁶ See Rules of Practice 151, 152(a), 17 C.F.R. §§ 201.151, .152(a) (providing procedure for filing papers with the Commission and mandating electronic filing in the form and manner posted on the Commission's website); *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. Parties generally also must certify that they have redacted or omitted sensitive personal information from any filing. Rule of Practice 151(e), 17 C.F.R. § 201.151(e).

⁷ See Rule of Practice 150, 17 C.F.R. § 201.150 (generally requiring parties to serve each other with filings); Rule of Practice 151(d), 17 C.F.R. § 201.151(d) ("Papers filed with the Commission . . . shall be accompanied by a certificate stating the name of the person or persons served, the date of the service, the method of service, and the mailing address or email address to which service was made, if not made in person.").