

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

INVESTMENT ADVISERS ACT OF 1940
Release No. 6777 / November 26, 2024

Admin. Proc. File No. 3-22307

In the Matter of

EPIC CAPITAL WEALTH ADVISORS, LLC

ORDER

On November 8, 2024, the Securities and Exchange Commission issued an order instituting proceedings (“OIP”) against Epic Capital Wealth Advisors, LLC, to determine whether its pending application for registration as an investment adviser should be denied pursuant to Section 203(c)(2)(B) of the Investment Advisers Act of 1940.¹ That provision states that such proceedings “shall be concluded within one hundred twenty days of the date of the filing of the application for registration,” absent an extension “for up to ninety days” for good cause or “for such longer period as to which the applicant consents.”² According to the OIP, Epic Capital filed an application for registration as an investment adviser with the Commission on September 24, 2024, which Epic Capital most recently amended on October 25, 2024.

To facilitate the orderly and expeditious resolution of this matter, it is ORDERED that, by December 10, 2024, the parties shall file a statement as to whether the 120-day period specified under Section 203(c)(2)(B) runs from the initial filing of Epic Capital’s application for registration or from the filing of the most recent amendment to its application, identifying the date that the 120-day period ends in this case, and advising the Commission about whether Epic Capital consents to any longer period (and, if so, when that longer period would end). This order does not preclude Epic Capital from subsequently consenting to such a period.

¹ *Epic Capital Wealth Advisors, LLC*, Advisers Act Release No. 6771, 2024 WL 4723204 (Nov. 8, 2024).

² 15 U.S.C. § 80b-3(c)(2)(B).

The parties' attention is directed to the e-filing requirements in the Rules of Practice.³ We also remind the parties that any document filed with the Commission must also be served upon all participants in the proceeding and be accompanied by a certificate of service.⁴ The Commission will specify further proceedings by subsequent order.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

³ See Rules of Practice 151, 152(a), 17 C.F.R. §§ 201.151, .152(a) (providing procedure for filing papers with the Commission and mandating electronic filing in the form and manner posted on the Commission's website); *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. Parties generally also must certify that they have redacted or omitted sensitive personal information from any filing. Rule of Practice 151(e), 17 C.F.R. § 201.151(e).

⁴ See Rule of Practice 150, 17 C.F.R. § 201.150 (generally requiring parties to serve each other with their filings); Rule of Practice 151(d), 17 C.F.R. § 201.151(d) ("Papers filed with the Commission . . . shall be accompanied by a certificate stating the name of the person or persons served, the date of service, the method of service, and the mailing address or email address to which service was made, if not made in person.").