

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

INVESTMENT ADVISERS ACT OF 1940
Release No. 6525 / January 8, 2024

Admin. Proc. File No. 3-21125

In the Matter of

JACOB C. GLICK

SCHEDULING ORDER

On September 22, 2022, the Securities and Exchange Commission issued an Order Instituting Administrative Proceedings (“OIP”) against Jacob C. Glick, pursuant to Section 203(f) of the Investment Advisers Act of 1940.¹ Glick filed an answer with the Commission on November 30, 2022, and a motion for a ruling on the pleadings on December 12, 2022. The Commission denied Glick’s motion on October 27, 2023.² The parties subsequently conducted a prehearing conference and, on December 19, 2023, filed a joint prehearing conference statement with a proposed prehearing schedule.³ The Division of Enforcement also proposed a schedule for motions for summary disposition to which Glick did not object.

Accordingly, it is ORDERED, based on the parties’ representations, that the parties adhere to the following schedule:

March 1, 2024	Summary disposition motions
March 22, 2024	Oppositions to motions for summary disposition
April 5, 2024	Replies to oppositions to motions for summary disposition
June 4, 2024	Exchange of witness and exhibit lists

¹ *Jacob C. Glick*, Advisers Act Release No. 6144, 2022 WL 4445453 (Sept. 22, 2022).

² *Jacob C. Glick*, Advisers Act Release No. 6472, 2023 WL 7108830 (Oct. 27, 2023).

³ *See* Rule of Practice 221(e), 17 C.F.R. § 201.221(e) (providing for entry of prehearing order following completion of the prehearing conference).

June 11, 2024	Objections to exhibits ⁴
June 18, 2024	Prehearing briefs
July 9, 2024	Final prehearing telephonic conference
July 23, 2024	Hearing commences (Time and location TBD)

It is further ORDERED that if any party files a motion for summary disposition, all outstanding deadlines established by this order other than those governing the briefing schedule for motions for summary disposition shall be postponed pending the Commission's resolution of such motion(s).⁵ This order is without prejudice to further modification of the schedule by the Commission or by a hearing officer, whether upon request by a party or *sua sponte*.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

⁴ Although the parties state in their prehearing conference statement that they “have agreed that exhibits not objected to will be deemed admitted at the beginning of the hearing,” the question of the admissibility of evidence is one for the Commission. *See* Rule of Practice 320, 17 C.F.R. § 201.320.

⁵ *See* Rule of Practice 161(a), 17 C.F.R. § 201.161(a). A postponement of more than 21 days under these circumstances would promote efficiency by conserving resources while any dispositive motions are pending. *See* Rule of Practice 161(c), 17 C.F.R. § 201.161(c).