

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 99438 / January 29, 2024

Admin. Proc. File No. 3-21805

In the Matter of the Application of  
FREDERICK MAXIMILIAN KORT  
For Review of Action Taken by  
FINRA

ORDER GRANTING REQUEST TO DISMISS APPLICATION FOR REVIEW

On November 17, 2023, Frederick Maximilian Kort, an associated person of a FINRA member firm, filed an application for review of a FINRA action. Kort had filed claims in FINRA's arbitration forum seeking to expunge from his Central Registration Depository record certain customer dispute information. FINRA denied use of the arbitration forum as to Kort's claims, and he appealed.

On January 24, 2024, Kort filed an unopposed request that the Commission dismiss his application for review. Under the circumstances, it is appropriate to grant Kort's unopposed request.

Accordingly, IT IS ORDERED that the request of Frederick Maximilian Kort to dismiss his application for review is granted and that this review proceeding is dismissed.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman  
Secretary