

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 101623 / November 14, 2024

Admin. Proc. File No. 3-21981

In the Matter of the Application of  
  
MARK KIPLING DURHAM  
  
For Review of Action Taken by  
  
FINRA

ORDER REQUESTING ADDITIONAL BRIEFING

On October 17, 2024, applicant Mark Kipling Durham filed a brief in support of his application for review. However, Durham failed to attach a certificate of service to this filing.<sup>1</sup> Additionally, the certificate of service attached to Durham's motion to adduce additional evidence failed to list the FINRA attorney who has entered an appearance in this matter, Celia Passaro.

Accordingly, IT IS ORDERED that, by November 22, 2024, Durham submit additional briefing that certifies when and how the opening brief and motion to adduce additional evidence were served on the proper FINRA attorneys.<sup>2</sup> This additional briefing must itself include a certificate of service.

For the Commission, by the Office of General Counsel, pursuant to delegated authority.

Vanessa A. Countryman  
Secretary

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<sup>1</sup> See Rules of Practice 150, 151(d), 17 C.F.R. § 201.150, .151(d) (providing rules regarding service of papers and certificates of service).

<sup>2</sup> The certification should state "the name of the person or persons served, the date of service, the method of service, and the mailing address or email address to which service was made, if not made in person." Rule of Practice 151(d), 17 C.F.R. § 201.151(d).