

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

INVESTMENT ADVISERS ACT OF 1940  
Release No. 6430 / September 22, 2023

Admin. Proc. File No. 3-21064

In the Matter of  
  
RULESS PIERRE A/K/A RULES PIERRE

SUPPLEMENTAL ORDER REGARDING SERVICE

The Securities and Exchange Commission (“Commission”) issued an order instituting administrative proceedings (“OIP”) on September 12, 2022, pursuant to Section 203(f) of the Investment Advisers Act of 1940 against Rules Pierre a/k/a Rules Pierre.<sup>1</sup> It appears that there have been no filings in this proceeding with respect to whether the OIP was served upon Pierre.

On August 9, 2023, the Commission issued an order regarding service.<sup>2</sup> The order directed the Division of Enforcement to file a status report concerning service of the OIP by August 23, 2023, and every 28 days thereafter until service is accomplished. To date, no such status report has been filed, nor has the Division otherwise responded to the August 9 order.

Accordingly, IT IS ORDERED that the Division file a status report concerning service of the OIP by October 6, 2023, and every 28 days thereafter until service is accomplished.

The parties’ attention is directed to the e-filing requirements in the Rules of Practice.<sup>3</sup> We also remind the parties that any document filed with the Commission must be served upon all participants in the proceeding and be accompanied by a certificate of service.<sup>4</sup>

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<sup>1</sup> *Rules Pierre*, Advisers Act Release No. 6122, 2022 WL 4181207 (Sept. 12, 2022).

<sup>2</sup> *Rules Pierre*, Advisers Act Release No. 6373, 2023 WL 5126088 (Aug. 9, 2023).

<sup>3</sup> *Amendments to the Commission’s Rules of Practice*, Exchange Act Release No. 90442, 2020 WL 7013370 (Nov. 17, 2020), 85 Fed. Reg. 86,464, 86,474 (Dec. 30, 2020), <https://www.sec.gov/rules/final/2020/34-90442a.pdf>; *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. The amendments impose other obligations such as a redaction and omission of sensitive personal information requirement. *Amendments to the Commission’s Rules of Practice*, 85 Fed. Reg. at 86,465–81.

<sup>4</sup> See Rule of Practice 150, 17 C.F.R. § 201.150 (generally requiring parties to serve each other with their filings); Rule of Practice 151(d), 17 C.F.R. § 201.151(d) (“Papers filed with the

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman  
Secretary

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Commission ... shall be accompanied by a certificate stating the name of the person or persons served, the date of service, the method of service, and the mailing address or email address to which service was made, if not made in person.”).