

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

INVESTMENT ADVISERS ACT OF 1940
Release No. 6374 / August 9, 2023

Admin. Proc. File No. 3-20235

In the Matter of

GREGORY MOATS SAMPSON

ORDER REGARDING SERVICE

The Securities and Exchange Commission issued an order instituting administrative proceedings (“OIP”) on March 2, 2021, pursuant to Section 203(f) of the Investment Advisers Act of 1940 against Gregory Moats Sampson.¹ It appears that there have been no filings in this proceeding with respect to whether the OIP was served upon Sampson. To assist the Office of the Secretary in maintaining a record of service that establishes that the OIP has been properly served,² IT IS ORDERED that the Division of Enforcement file a status report concerning service of the OIP by August 23, 2023, and every 28 days thereafter until service is accomplished.

The parties’ attention is directed to the e-filing requirements in the Rules of Practice.³

¹ *Gregory Moats Sampson*, Advisers Act Release No. 5694, 2021 WL 796053 (Mar. 2, 2021).

² *See* Rule of Practice 141(a)(3), 17 C.F.R. § 201.141(a)(3).

³ *Amendments to the Commission’s Rules of Practice*, Exchange Act Release No. 90442, 2020 WL 7013370 (Nov. 17, 2020), 85 Fed. Reg. 86,464, 86,474 (Dec. 30, 2020), <https://www.sec.gov/rules/final/2020/34-90442a.pdf>; *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. The amendments impose other obligations such as a redaction and omission of sensitive personal information requirement. *Amendments to the Commission’s Rules of Practice*, 85 Fed. Reg. at 86,465–81.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary