

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

INVESTMENT ADVISERS ACT OF 1940
Release No. 6227 / January 30, 2023

Admin. Proc. File No. 3-19826

In the Matter of

LOUIS NAVELLIER and
NAVELLIER & ASSOCIATES, INC.

ORDER REGARDING THE PREHEARING CONFERENCE

The Securities and Exchange Commission (“Commission”) issued an order instituting administrative proceedings (“OIP”) on June 12, 2020, pursuant to Sections 203(e) and 203(f) of the Investment Advisers Act of 1940, against Louis Navellier and Navellier & Associates, Inc. (collectively, “Respondents”).¹ The OIP directed the parties to conduct a prehearing conference, pursuant to Rule 221 of the Commission’s Rules of Practice,² within fourteen (14) days of service of the Answer and, following the conference, to file a statement with the Office of the Secretary advising the Commission of any agreements reached at said conference.³ If a prehearing conference was not held, the parties were ordered to file a statement advising the Commission of that fact and of the efforts made to meet and confer.⁴

Respondents filed their answer with the Commission on July 2, 2020, but, to date, the parties have not filed any papers regarding a prehearing conference, as directed by the OIP.

Accordingly, IT IS ORDERED that by February 13, 2023, the parties shall file a statement with the Office of the Secretary advising the Commission of any agreements reached at the prehearing conference specified in the OIP. If a prehearing conference was not held, both parties shall file by that date a statement, jointly or separately, advising the Commission of that fact and of the efforts made to meet and confer. Pursuant to Rule of Practice 180(c), a party’s failure to file a brief or to comply with this order may result in the Commission’s determination

¹ *Louis Navellier*, Advisers Act Release No. 5520, 2020 WL 3127365 (June 12, 2020).

² 17 C.F.R. § 201.221.

³ *Navellier*, 2020 WL 3127365, at *2.

⁴ *Id.*

of the matter at issue against that party, a finding of waiver, dismissal of the proceeding, or such other sanction as the Commission finds appropriate.⁵

The parties' attention is directed to the e-filing requirements in the Rules of Practice.⁶

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

⁵ 17 C.F.R. § 201.180(c).

⁶ *Amendments to the Commission's Rules of Practice*, Exchange Act Release No. 90442, 2020 WL 7013370 (Nov. 17, 2020), 85 Fed. Reg. 86,464, 86,474 (Dec. 30, 2020), <https://www.sec.gov/rules/final/2020/34-90442a.pdf>; *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. The amendments impose other obligations such as a new redaction and omission of sensitive personal information requirement. *Amendments to the Commission's Rules of Practice*, 85 Fed. Reg. at 86,465-81.