

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 99214 / December 20, 2023

Admin. Proc. File No. 3-21516

In the Matter of the Application of  
  
RICKY ALAN MANTEI  
  
For Review of Action Taken by  
  
FINRA

ORDER GRANTING EXTENSION OF BRIEFING DUE DATE AND WORD LIMIT

On June 27, 2023, Ricky Alan Mantei filed an application for review of a FINRA disciplinary action, and on October 30, 2023, he filed his opening brief pursuant to the briefing order in this proceeding.<sup>1</sup> On November 30, 2023, FINRA filed its opposition brief. Mantei now seeks an extension of the filing deadline for his reply brief due to illness suffered by his counsel. He also seeks leave to exceed the 7,000-word limit of Rule of Practice 450(c) by up to 2,000 words. FINRA does not oppose Mantei's request.

Under the circumstances of this case, it appears appropriate to grant the requested due date extension<sup>2</sup> and enlargement of the word limit<sup>3</sup> for Mantei's brief. Accordingly, IT IS ORDERED, pursuant to Rule 450(a) and (c) of the Rules of Practice,<sup>4</sup> that Mantei's reply brief shall be filed by January 8, 2024, and shall not exceed 9,000 words.

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<sup>1</sup> *Ricky Alan Mantei*, Exchange Act Release No. 98134, 2023 WL 5219921, at \*1 (Aug. 15, 2023).

<sup>2</sup> *See* Rule of Practice 161, 17 C.F.R. § 201.161; *see also In re Pending Administrative Proceedings*, Exchange Act Release No. 88415, 2020 WL 1322001 (Mar. 18, 2020) (stating that "pending further order of the Commission, all reasonable requests for extensions of time will not be disfavored as stated in Rule 161" (citing 17 C.F.R. § 201.161(b)(1))).

<sup>3</sup> *See* Rule of Practice 450(c), 17 C.F.R. § 201.450(c) (permitting enlargement of briefing word limits with the leave of the Commission).

<sup>4</sup> 17 C.F.R. § 201.450(a), (c).

For the Commission, by its Secretary, pursuant to delegated authority.

Vanessa A. Countryman  
Secretary