

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 99032 / November 28, 2023

Admin. Proc. File No. 3-21516

In the Matter of the Application of  
  
RICKY ALAN MANTEI  
  
For Review of Action Taken by  
  
FINRA

ORDER GRANTING EXTENSION OF BRIEFING WORD LIMIT

On June 27, 2023, Ricky Alan Mantei filed an application for review of a FINRA disciplinary action, and on October 30, 2023, he filed his opening brief pursuant to the briefing order in this proceeding.<sup>1</sup> On November 20, 2023, FINRA filed a motion seeking leave to file an opposition brief up to 3,000 words in excess of the 14,000-word limit of Rule of Practice 450(c).<sup>2</sup> Mantei does not oppose FINRA's request.

Under the circumstances of this case, it appears appropriate to grant the requested enlargement of the word limit for FINRA's brief.<sup>3</sup> Accordingly, IT IS ORDERED, pursuant to Rule 450(a) and (c) of the Rules of Practice,<sup>4</sup> that FINRA's opposition brief shall be filed by

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<sup>1</sup> *Ricky Alan Mantei*, Exchange Act Release No. 98134, 2023 WL 5219921, at \*1 (Aug. 15, 2023).

<sup>2</sup> 17 C.F.R. § 201.450(c).

<sup>3</sup> *See id.* (permitting enlargement of briefing word limits with the leave of the Commission).

<sup>4</sup> 17 C.F.R. § 201.450(a), (c).

November 30, 2023, and shall not exceed 17,000 words.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman  
Secretary