UNITED STATES OF AMERICA before the SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934 Release No. 98756 / October 16, 2023

Admin. Proc. File No. 3-20703

In the Matter of the Application of

THOMAS J. LYKOS, JR.

For Review of Action Taken by

FINRA

ORDER EXTENDING TIME TO ISSUE DECISION

The Commission has determined, in its discretion, that it is appropriate to extend by 90 days the period within which the decision in this matter may be issued. Accordingly, IT IS ORDERED that such period be, and hereby is, extended to January 15, 2024.¹

By the Commission.

Vanessa A. Countryman Secretary

¹ January 14, 2024, is a Sunday.