

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 98755 / October 16, 2023

Admin. Proc. File No. 3-20646

In the Matter of the Application of

THOMAS LEE JOHNSON

For Review of Disciplinary Action Taken by

FINRA

ORDER EXTENDING TIME TO ISSUE DECISION

The Commission has determined, in its discretion, that it is appropriate to extend by 90 days the period within which the decision in this matter may be issued. Accordingly, IT IS ORDERED that such period be, and hereby is, extended to January 16, 2024.¹

By the Commission.

Vanessa A. Countryman
Secretary

¹ January 14, 2024 is a Sunday, and January 15, 2024 is a federal holiday.