

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 98273 / September 1, 2023

Admin. Proc. File No. 3-20659

In the Matter of

HUGHE DUWAYNE GRAHAM

ORDER REGARDING SERVICE OF AMENDED ORDER INSTITUTING
ADMINISTRATIVE PROCEEDINGS

On November 19, 2021, the Securities and Exchange Commission issued an order instituting administrative proceedings (“OIP”) against Hughe Duwayne Graham pursuant to Section 15(b) of the Securities Exchange Act of 1934.¹ On July 21, 2023, the Commission granted the Division of Enforcement’s motion to amend the OIP.² The order provided that service of it and the amended OIP would be made consistent with Rule of Practice 141(a) and directed the Division to promptly file with the Office of the Secretary a record of such service.³

It appears that there have been no filings in this proceeding since issuance of the amended OIP, including as to whether the amended OIP was served upon Graham. To assist the Office of the Secretary in maintaining a record of service that establishes that the amended OIP has been properly served,⁴ IT IS ORDERED that the Division of Enforcement file a status report concerning service of the amended OIP by September 15, 2023, and every 28 days thereafter until service is accomplished.

The parties’ attention is directed to the e-filing requirements in the Rules of Practice.⁵ The parties are reminded that they must serve each other with a copy of any documents that they

¹ *Hughe Duwayne Graham*, Exchange Act Release No. 93619, 2021 WL 5415352 (Nov. 19, 2021).

² *Hughe Duwayne Graham*, Exchange Act Release No. 97963, 2023 WL 4682613 (July 21, 2023).

³ 17 C.F.R. § 201.141(a)(3); *Graham*, 2023 WL 4682613, at *2.

⁴ See Rule of Practice 141(a)(3), 17 C.F.R. § 201.141(a)(3).

⁵ See *Amendments to the Commission’s Rules of Practice*, Exchange Act Release No. 90442, 2020 WL 7013370 (Nov. 17, 2020), 85 Fed. Reg. 86,464 (Dec. 30, 2020), <https://www.sec.gov/rules/final/2020/34-90442a.pdf>; *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*,

file with the Commission,⁶ and they must include a certificate of service with each document that they file.⁷

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

<https://www.sec.gov/efapdocs/instructions.pdf>. The amendments impose other obligations such as a redaction and omission of sensitive personal information requirement. *Amendments to the Commission's Rules of Practice*, 85 Fed. Reg. at 86,465–81.

⁶ Rule of Practice 150(a), 17 C.F.R. § 201.150(a).

⁷ Rule of Practice 151(d), 17 C.F.R. § 201.151(d). A certificate of service “stat[es] the name of the person or persons served, the date of service, the method of service, and the mailing address or email address to which service was made, if not made in person.” *Id.*