UNITED STATES OF AMERICA before the SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934 Release No. 97979 / July 25, 2023

Admin. Proc. File No. 3-21414

In the Matter of

ADVANTEGO CORPORATION

ORDER REGARDING PREHEARING CONFERENCE

The Securities and Exchange Commission issued an Order Instituting Proceedings ("OIP") on May 11, 2023, pursuant to Section 12(j) of the Securities Exchange Act of 1934, against Advantego Corporation ("Respondent").¹ The OIP directed the parties to conduct a prehearing conference within 14 days of service of Respondent's answer to the OIP and, following the conference, to file a statement with the Office of the Secretary advising the Commission of any agreements reached at said conference.² If a prehearing conference was not held, the parties were ordered to file a statement advising the Commission of that fact and of the efforts made to meet and confer.³ Respondent filed an answer with the Commission on May 16, 2023.⁴ The parties have not filed any statement regarding a prehearing conference.

Accordingly, IT IS ORDERED that by August 8, 2023, the parties shall file a statement with the Office of the Secretary advising the Commission of any agreements reached at the

² *Id.* at *2.

³ *Id.*

⁴ Respondent did not include a certificate of service with its answer or with a subsequent notice of appearance. Respondent's answer, which includes contact information for its counsel, is available at <u>https://www.sec.gov/litigation/apdocuments/ap-3-21414.xml</u>. On May 22, 2023, counsel for the Division of Enforcement filed a notice of appearance, declaration of service, and discovery letter. The certificates of service for these filings state that they were served on Respondent at the address contained in its last EDGAR filing. The parties are directed to provide copies of these filings to opposing counsel to the extent that they have not previously done so. The Division is represented by Samantha Williams (williamssam@sec.gov) and Sandhya C. Harris (harrissan@sec.gov).

¹ Advantego Corp., Exchange Act Release No. 97492, 2023 WL 3433418 (May 11, 2023).

prehearing conference specified by the OIP. If a prehearing conference was not held, both parties shall file by that date a statement, jointly or separately, advising the Commission of that fact and of the efforts made to meet and confer. Pursuant to Rule of Practice 180(c), a party's failure to comply with this order may result in the Commission's determination of the matter at issue against that party, entry of a default, dismissal of the proceeding, or the prohibition of the introduction of evidence or the exclusion of testimony regarding the matter at issue.⁵

The parties' attention is directed to the e-filing requirements in the Rules of Practice.⁶ We also remind the parties that any document filed with the Commission must be served upon all participants in the proceeding and be accompanied by a certificate of service.⁷ Filing a document through the Commission's electronic filing system does not serve it on opposing counsel.⁸

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman Secretary

⁷ See Rule of Practice 150, 17 C.F.R. § 201.150 (generally requiring parties to serve each other with their filings); Rule of Practice 151(d), 17 C.F.R. § 201.151(d) ("Papers filed with the Commission . . . shall be accompanied by a certificate stating the name of the person or persons served, the date of service, the method of service, and the mailing address or email address to which service was made, if not made in person.").

⁸ See Bradley C. Reifler, Advisers Act Release No. 6304, 2023 WL 3274687, at *1 & n.3 (May 5, 2023).

⁵ 17 C.F.R. § 201.180(c).

⁶ See Amendments to the Commission's Rules of Practice, Exchange Act Release No. 90442, 2020 WL 7013370 (Nov. 17, 2020), 85 Fed. Reg. 86,464 (Dec. 30, 2020), <u>https://www.sec.gov/rules/final/2020/34-90442a.pdf</u>; Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications, <u>https://www.sec.gov/efapdocs/instructions.pdf</u>. The amendments impose other obligations such as a redaction and omission of sensitive personal information requirement. Amendments to the Commission's Rules of Practice, 85 Fed. Reg. at 86,465–81.