

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 97714 / June 13, 2023

Admin. Proc. File No. 3-21160

In the Matter of  
SEAN ANDREW O'NEAL

ORDER DIRECTING STATUS REPORT FROM THE DIVISION OF ENFORCEMENT

The Securities and Exchange Commission issued an order instituting administrative proceedings (“OIP”) on September 27, 2022, pursuant to Section 15(b) of the Securities Exchange Act of 1934, against Sean Andrew O’Neal.<sup>1</sup> It appears that, other than notices of appearance by Division of Enforcement counsel, there have been no filings in this proceeding since issuance of the OIP, including as to whether the OIP was served upon O’Neal.

On February 14, 2023, the Commission issued an Order Regarding Service.<sup>2</sup> The order directed the Division of Enforcement to file a status report concerning service of the OIP by February 28, 2023, and every 28 days thereafter until service is accomplished.<sup>3</sup> To date, no such status report has been filed, nor has the Division otherwise responded to the February 14 order.

Accordingly, IT IS ORDERED that the Division of Enforcement file a status report concerning service of the OIP by June 27, 2023, and every 28 days thereafter until service is accomplished.

---

<sup>1</sup> *Sean Andrew O’Neal*, Exchange Act Release No. 95915, 2022 WL 4484020 (Sept. 27, 2022).

<sup>2</sup> *Sean Andrew O’Neal*, Exchange Act Release No. 96917, 2023 WL 2012272 (Feb. 14, 2023).

<sup>3</sup> *Id.* at \*1.

The parties' attention is directed to the e-filing requirements in the Rules of Practice.<sup>4</sup>

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman  
Secretary

---

<sup>4</sup> *Amendments to the Commission's Rules of Practice*, Exchange Act Release No. 90442, 2020 WL 7013370 (Nov. 17, 2020), 85 Fed. Reg. 86,464, 86,474 (Dec. 30, 2020), <https://www.sec.gov/rules/final/2020/34-90442a.pdf>; *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. The amendments impose other obligations such as a redaction and omission of sensitive personal information requirement. *Amendments to the Commission's Rules of Practice*, 85 Fed. Reg. at 86,465–81.