

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 97501 / May 15, 2023

Admin. Proc. File No. 3-20819

In the Matter of the Application of  
  
NEIL JOSEPH GAGNON  
  
For Review of Action Taken by  
  
FINRA

ORDER GRANTING MOTION TO WITHDRAW APPLICATION FOR REVIEW

On June 22, 2022, Neil Joseph Gagnon, an associated person of a FINRA member firm, filed an application for review of a FINRA action. Gagnon had filed a claim in FINRA's arbitration forum seeking to expunge from his Central Registration Depository record information about a prior arbitration award entered against him. FINRA notified Gagnon that his claim was not eligible for arbitration, and he appealed.

On May 3, 2023, Gagnon filed an unopposed motion to withdraw his application for review. Under the circumstances, it is appropriate to grant Gagnon's unopposed request.

Accordingly, IT IS ORDERED that the request of Neil Joseph Gagnon to withdraw his application for review is granted and that this review proceeding is dismissed.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman  
Secretary