

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 96635 / January 11, 2023

Admin. Proc. File No. 3-19502

In the Matter of
ROMAN SLEDZIEJOWSKI

ORDER

On September 24, 2019, the Securities and Exchange Commission issued an order instituting administrative proceedings (“OIP”) against Roman Sledziejowski pursuant to Section 15(b) of the Securities Exchange Act of 1934.¹ On April 12, 2021, the Commission issued an order to show cause why the Commission should not find Sledziejowski in default due to his failure to file an answer or otherwise to defend this proceeding.² The order directed the Division of Enforcement to file a motion for default and other relief if Sledziejowski failed to respond to the show cause order. The Division filed such a motion on May 24, 2021.

The Division’s motion contains a service list stating that it had been “served electronically upon each person previously agreeing to accept documents by electronic means.” The Division’s notice of appearance filed on April 19, 2021 contains the same language. But Sledziejowski has not previously agreed to accept documents by electronic means. Accordingly, it is unclear whether the Division has served its motion or notice of appearance on Sledziejowski, as required by Commission Rule of Practice 150(a).³

¹ *Roman Sledziejowski*, Exchange Act Release No. 87076, 2019 WL 4645967 (Sept. 24, 2019).

² *Roman Sledziejowski*, Exchange Act Release No. 91541, 2021 WL 1393065 (Apr. 12, 2021).

³ 17 C.F.R. § 201.150(a); *see also* Rule of Practice 151(d), 17 C.F.R. § 201.151(d) (requiring that filed papers include certificates of service).

Accordingly, IT IS ORDERED that, by January 25, 2023, the Division of Enforcement file a certificate of service reflecting that its motion and notice of appearance have been served on Sledziejowski.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary