

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

INVESTMENT ADVISERS ACT OF 1940  
Release No. 6207 / December 23, 2022

Admin. Proc. File Nos. 3-21098

In the Matters of  
  
GREGORY M. GREENDA

ORDER REGARDING SERVICE

The Securities and Exchange Commission (“Commission”) issued an order instituting administrative proceedings (“OIP”) on September 16, 2022, pursuant to Section 203(f) of the Investment Advisers Act of 1940, against Gregory M. Grenda (“Respondent”).<sup>1</sup> Other than a notice of appearance by Division of Enforcement counsel, it appears that the only filing in this case is a Status Report filed by the Division on December 6, 2022. Although the Status Report states that the OIP was served upon Respondent by service on his counsel, the Division has provided no attachments or exhibits to support this assertion. To assist the Office of the Secretary in maintaining a record of service that establishes that the OIP has been properly served,<sup>2</sup> IT IS ORDERED that the Division file a status report, including any evidence of service of the OIP, by January 20, 2023, and every 28 days thereafter until service is accomplished.<sup>3</sup>

The parties’ attention is directed to the e-filing requirements in the Rules of Practice.<sup>4</sup>

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<sup>1</sup> *Gregory M. Grenda*, Advisers Act Release No. 6131, 2022 WL 4288988 (Sept. 16, 2022).

<sup>2</sup> See Rule of Practice 141(a)(3), 17 C.F.R. § 201.141(a)(3).

<sup>3</sup> The Commission will direct the filing of a motion for default and sanctions if, after proper service of the OIP has been established, Respondent does not file an answer.

<sup>4</sup> *Amendments to the Commission’s Rules of Practice*, Exchange Act Release No. 90442, 2020 WL 7013370 (Nov. 17, 2020), 85 Fed. Reg. 86,464, 86,474 (Dec. 30, 2020), <https://www.sec.gov/rules/final/2020/34-90442a.pdf>; *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. The amendments impose other obligations such as a new redaction and omission of sensitive personal information requirement. *Amendments to the Commission’s Rules of Practice*, 85 Fed. Reg. at 86,465–81.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman  
Secretary