

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

INVESTMENT ADVISERS ACT OF 1940
Release No. 6187 / November 18, 2022

Admin. Proc. File No. 3-21202

In the Matter of

JASON SCHWARZ

ORDER GRANTING AN EXTENSION OF TIME

On October 6, 2022, the Securities and Exchange Commission (“Commission”) issued an order instituting administrative proceedings (“OIP”) against Jason Schwarz (“Respondent”), pursuant to Section 203(f) of the Investment Advisers Act of 1940.¹ Respondent, who is currently incarcerated at a federal prison facility, has moved for an extension of time to file his answer to the OIP until January 23, 2023. Respondent’s motion cites his incarceration and the resulting restrictions on the resources available to him as a reason that such an extension is warranted, and states that he is scheduled to be transferred to home confinement on December 12, 2022. The Division of Enforcement does not oppose Respondent’s extension request.

On March 18, 2020, the Commission issued an order providing that, pending further order of the Commission, reasonable requests for extensions of time will not be disfavored as stated in Commission Rule of Practice 161.² Under the circumstances, including that Respondent will so soon be transferred from prison to home confinement, it appears appropriate to grant Respondent’s extension request. Accordingly, it is ORDERED that the time for Respondent to file an answer to the OIP is extended to January 23, 2023.

¹ *Jason Schwarz*, Exchange Act Release No. 6160, 2022 WL 6126044 (October 6, 2022). The Division of Enforcement later filed documents establishing service of the OIP on October 13, 2022. *See* Rule of Practice 141(a)(2)(i), 17 C.F.R. § 201.141(a)(2)(i) (providing that service on an individual can be made by delivering a copy of the OIP, sent by “U.S. Postal Service certified, registered or express mail” to “the individual’s dwelling house or usual place of abode” and “obtaining a confirmation of receipt”). Respondent states that he received the OIP.

² *In re Pending Administrative Proceedings*, Securities Act Release No. 10767, 2020 WL 1322001 (Mar. 18, 2020), <https://www.sec.gov/litigation/opinions/2020/33-10767.pdf>.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary