

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

INVESTMENT ADVISERS ACT OF 1940
Release No. 6132 / September 16, 2022

Admin. Proc. File No. 3-19849

In the Matter of
NEIL BURKHOLZ

ORDER DISMISSING PROCEEDING

On July 1, 2020, the Securities and Exchange Commission issued an order instituting administrative proceedings against Neil Burkholz pursuant to Section 203(f) of the Investment Advisers Act of 1940 to determine what, if any, remedial action was appropriate and in the public interest against Respondent following the entry of an injunction against him in federal district court.¹ On September 7, 2022, the Division of Enforcement filed a motion to dismiss the proceeding stating that Respondent had died on or about June 19, 2021, and thus there was no purpose or public interest in continuing the proceeding. Under the circumstances, it is appropriate to grant the Division's request.

Accordingly, IT IS ORDERED that the administrative proceeding against Neil Burkholz be dismissed.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

¹ *Neil Burkholz*, Advisers Act Release No. 5530, 2020 WL 3605042 (July 1, 2020); *see* 15 U.S.C. § 80b-3(f).