

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 96569 / December 22, 2022

Admin. Proc. File No. 3-21011

In the Matter of

KARINA CHAIREZ

SECOND ORDER REGARDING SERVICE

The Securities and Exchange Commission (“Commission”) issued an order instituting administrative proceedings (“OIP”) on August 26, 2022, pursuant to Section 15(b) of the Securities Exchange Act of 1934, against Karina Chairez.¹ On December 1, 2022, the Commission issued an Order Regarding Service, directing the Division of Enforcement (“Division”) to file a status report concerning service of the OIP.²

On December 15, 2022, the Division filed a status report stating that the OIP was mailed “to Chairez’s last known address [in Modesto, California] on August 29, 2022,” but that the U.S. Postal Service (“USPS”) tracking information indicated that the mailing was returned as undeliverable. According to the status report, the Division later learned that Chairez “*might* now reside at an alternate address” in Ceres, California (emphasis added). The Division asserts that the OIP mailing “was delivered and ‘Left with Individual’” at the new address on December 9, 2022. But the status report does not include any information confirming whether Chairez does, in fact, reside at the alternate address. Nor does the status report include any other proof of service for the OIP mailing itself, such as the USPS tracking information or return receipt.³

Accordingly, IT IS ORDERED that, if the Division has obtained proof of service, it file a declaration of service attaching such proof and explaining the factual basis on which it determined that Chairez resides at the address at which service was made by January 19, 2023; and, if the Division has not obtained proof of service, it file a status report concerning service of the OIP by January 19, 2023, and every 28 days thereafter until service is accomplished.

¹ *Karina Chairez*, Exchange Act Release No. 95619, 2022 WL 3703842 (Aug. 26, 2022).

² *Karina Chairez*, Exchange Act Release No. 96418, 2022 WL 17401533 (Dec. 1, 2022).

³ See Rule of Practice 141(a)(2)(i), 17 C.F.R. § 201.141(a)(2)(i) (stating that OIPs may be served on individuals by “sending a copy of the order addressed to the individual by U.S. Postal Service certified, registered, or Express Mail and obtaining a confirmation of receipt”).

The parties' attention is directed to the e-filing requirements in the Rules of Practice.⁴

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

⁴ *Amendments to the Commission's Rules of Practice*, Exchange Act Release No. 90442, 2020 WL 7013370 (Nov. 17, 2020), 85 Fed. Reg. 86,464, 86,474 (Dec. 30, 2020), <https://www.sec.gov/rules/final/2020/34-90442a.pdf>; *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. The amendments impose other obligations such as a redaction and omission of sensitive personal information requirement. *Amendments to the Commission's Rules of Practice*, 85 Fed. Reg. at 86,465–81.