

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 96538 / December 19, 2022

Admin. Proc. File No. 3-21015

In the Matter of  
  
MARK W. HECKELE, ESQ.

ORDER DIRECTING STATUS REPORT FROM THE DIVISION OF ENFORCEMENT

The Securities and Exchange Commission (“Commission”) issued an order instituting administrative proceedings (“OIP”) on August 29, 2022, pursuant to Section 15(b) of the Securities Exchange Act of 1934 against Mark W. Heckeles, Esq.<sup>1</sup> It appears that there have been no filings in this proceeding since issuance of the OIP, including as to whether the OIP was served upon Heckeles.

On October 28, 2022, the Commission issued an Order Regarding Service.<sup>2</sup> The order directed the Division of Enforcement to file a status report concerning service of the OIP by November 14, 2022, and every 28 days thereafter until service is accomplished.<sup>3</sup> To date, no such status report has been filed, nor has the Division otherwise responded to the October 28 order.

Accordingly, IT IS ORDERED that the Division of Enforcement file a status report concerning service of the OIP by January 17, 2023, and every 28 days thereafter until service is accomplished.

The parties’ attention is directed to the e-filing requirements in the Rules of Practice.<sup>4</sup>

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<sup>1</sup> *Mark W. Heckeles, Esq.*, Exchange Act Release No. 95626, 2022 WL 3757555 (Aug. 29, 2022); *see* 15 U.S.C. § 78o(b).

<sup>2</sup> *Mark W. Heckeles, Esq.*, Exchange Act Release No. 96174, 2022 WL 16510813 (Oct. 28, 2022).

<sup>3</sup> *Id.* at \*1.

<sup>4</sup> *Amendments to the Commission’s Rules of Practice*, Exchange Act Release No. 90442, 2020 WL 7013370 (Nov. 17, 2020), 85 Fed. Reg. 86,464, 86,474 (Dec. 30, 2020), <https://www.sec.gov/rules/final/2020/34-90442a.pdf>; *Instructions for Electronic Filing and*

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman  
Secretary

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*Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. The amendments impose other obligations such as a redaction and omission of sensitive personal information requirement. *Amendments to the Commission's Rules of Practice*, 85 Fed. Reg. at 86,465–81.