

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 96370 / November 21, 2022

Admin. Proc. File No. 3-18894

In the Matter of the Application of
THOMAS CHRISTOPHE PRENTICE
For Review of Action Taken by
FINRA

ORDER EXTENDING TIME TO ISSUE DECISION

The Commission has determined, in its discretion, that it is appropriate to extend by 90 days the period within which the decision in this matter may be issued. Accordingly, IT IS ORDERED that such period be, and hereby is, extended to February 21, 2023.¹

By the Commission.

Vanessa A. Countryman
Secretary

¹ February 19, 2023, is a Sunday, and February 20, 2023, is a federal holiday.