

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 96179 / October 28, 2022

Admin. Proc. File Nos. 3-18616, 3-18617, 3-18877, 3-18879, 3-18883, 3-18910, 3-18919,
3-18934, 3-18988, 3-19013, 3-19016, 3-19017, 3-19219, 3-19405, 3-19573, 3-19574,
3-19611, 3-20160, 3-20205, 3-20467, 3-20499, 3-20620, 3-20621

In the Matter of the Application of

CONSOLIDATED ARBITRATION APPLICATIONS

For Review of Action Taken by

FINRA

ORDER DENYING FINRA'S MOTION TO CONSOLIDATE

FINRA has moved to consolidate *David E. Jennings*, Administrative Proceeding File No. 3-21073, with this consolidated proceeding. FINRA filed its motion in both *Jennings* and this consolidated proceeding. Because we already denied FINRA's motion to consolidate in *Jennings*,¹ we now also deny FINRA's motion to consolidate in this consolidated proceeding.

In addition, the parties are advised that filings made in this consolidated proceeding need not be filed in every Administrative Proceeding File Number that has been consolidated. Instead, the party may make any filing in this consolidated proceeding by filing it in the lead case for this matter, *Bart Steven Kaplow*, Administrative Proceeding File No. 3-18877.

Accordingly, it is ORDERED that FINRA's motion to consolidate *Jennings* with the *Consolidated Arbitration Applications* is denied.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

¹ *David E. Jennings*, Exchange Act Release No. 96070, 2022 WL 9192222 (Oct. 13, 2022).