UNITED STATES OF AMERICA before the SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934 Release No. 95578 / August 22, 2022

Admin. Proc. File No. 3-18894

In the Matter of the Application of

THOMAS CHRISTOPHE PRENTICE

For Review of Action Taken by

FINRA

ORDER EXTENDING TIME TO ISSUE DECISION

The Commission has determined, in its discretion, that it is appropriate to extend by 90 days the period within which the decision in this matter may be issued. Accordingly, IT IS ORDERED that such period be, and hereby is, extended to November 21, 2022.¹

By the Commission.

Vanessa A. Countryman Secretary

¹ November 20, 2022, is a Sunday.