

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 95350 / July 21, 2022

Admin. Proc. File No. 3-20634

In the Matter of the Application of

PAUL H. GILES

For Review of Action Taken by

FINRA

ORDER GRANTING REQUEST TO DISMISS APPLICATION FOR REVIEW

On October 21, 2021, Paul H. Giles, a registered representative of a FINRA member firm, filed an application for review of FINRA's determination that a Kentucky order revoking his state insurance licenses subjected him to a statutory disqualification under Section 3(a)(39) of the Securities Exchange Act of 1934. On July 15, 2022, Giles filed an unopposed motion to dismiss his application for review. The motion attached a July 12, 2022 letter from FINRA to Giles's firm, stating that Giles is no longer subject to a statutory disqualification based on the Kentucky order because his Kentucky insurance licenses have been reinstated. Under the circumstances, it is appropriate to grant Giles's unopposed request.

Accordingly, IT IS ORDERED that the request of Paul H. Giles to dismiss his application for review is granted and that this review proceeding is dismissed.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman  
Secretary