

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 95318 / July 19, 2022

Admin. Proc. File No. 3-20406

In the Matter of

PAUL HANSON

SECOND ORDER REGARDING SERVICE

The Securities and Exchange Commission (“Commission”) issued an order instituting administrative proceedings (“OIP”) on July 21, 2021, pursuant to Section 15(b) of the Securities Exchange Act of 1934, against Paul Hanson (“Respondent”).¹ On April 29, 2022, the Division of Enforcement filed a Status Report and Request for Briefing Schedule representing that the OIP was served on Respondent “by U.S. Postal Mail” and attaching a copy of the return receipt.

Rule of Practice 141(a)(2)(i) permits service of an order instituting proceedings by “sending a copy of the order addressed to the individual by U.S. Postal Service certified, registered or express mail and obtaining a confirmation of receipt”² The Division’s Status Report does not specify whether the OIP was sent to Respondent via “certified, registered or express mail,” as the Rules of Practice require. To assist the Office of the Secretary in maintaining a record of service that establishes that the OIP has been properly served,³ IT IS ORDERED that the Division of Enforcement file a status report clarifying the method of service of the OIP by August 2, 2022, and every 28 days thereafter until service is accomplished.

The parties’ attention is directed to the most recent amendments to the Commission’s Rules of Practice, which took effect on April 12, 2021, and which include new e-filing requirements.⁴

¹ *Paul Hanson*, Exchange Act Release No. 92462, 2021 WL 3110020 (July 21, 2021).

² Rule of Practice 141(a)(2)(i), 17 C.F.R. § 201.141(a)(2)(i).

³ *See* Rule of Practice 141(a)(3), 17 C.F.R. § 201.141(a)(3).

⁴ *Amendments to the Commission’s Rules of Practice*, Exchange Act Release No. 90442, 2020 WL 7013370 (Nov. 17, 2020), 85 Fed. Reg. 86,464, 86,474 (Dec. 30, 2020), <https://www.sec.gov/rules/final/2020/34-90442a.pdf>; *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. The amendments impose other obligations such

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

as a new redaction and omission of sensitive personal information requirement. *Amendments to the Commission's Rules of Practice*, 85 Fed. Reg. at 86,465–81.