

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 94343 / March 2, 2022

Admin. Proc. File No. 3-20704

In the Matter of the Application of  
  
JAMES EDMOND MONIZ  
  
For Review of Action Taken by  
  
FINRA

ORDER GRANTING MOTION TO WITHDRAW APPLICATION FOR REVIEW

On January 12, 2022, James Edmond Moniz filed with the Commission an application for review of FINRA action. Moniz had submitted a claim for arbitration with FINRA Dispute Resolution Services requesting expungement of certain information from the Central Registration Depository. FINRA notified Moniz that his claim was not eligible for arbitration, and he appealed.

On February 16, 2022, Moniz filed an unopposed motion to withdraw his application for review. Under the circumstances, it is appropriate to grant Moniz's unopposed request.

Accordingly, IT IS ORDERED that the request of James Edmond Moniz to withdraw his application for review is granted and that this review proceeding is dismissed.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman  
Secretary