

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 94032 / January 24, 2022

ADMINISTRATIVE PROCEEDING  
File No. 3-20704

In the Matter of the Application of  
  
James Edmond Moniz  
  
For Review of Action Taken by  
Financial Industry Regulatory Authority

EXTENSION ORDER

Financial Industry Regulatory Authority (FINRA) has requested an extension of time until February 9, 2022 to file its Certified Record in this matter. It appears appropriate to grant FINRA's request for good cause shown. Therefore,

It is ORDERED pursuant to Rule 161 of the Commission's Rules of Practice<sup>1</sup> that FINRA's time for filing its Certified Record in this matter is extended to February 9, 2022.

For the Commission, by its Secretary, pursuant to delegated authority.<sup>2</sup>

Vanessa A. Countryman  
Secretary

---

<sup>1</sup> 17 CFR § 201.161.

<sup>2</sup> 17 CFR § 200.30-7(a)(4).