

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

INVESTMENT ADVISERS ACT OF 1940  
Release No. 5726 / April 20, 2021

Admin. Proc. File No. 3-19331

In the Matter of  
  
JEREMY JOSEPH DRAKE

ORDER REGARDING SERVICE

On August 12, 2019, the Securities and Exchange Commission issued an order instituting administrative proceedings (“OIP”) against Jeremy Joseph Drake pursuant to Section 203(f) of the Investment Advisers Act of 1940.<sup>1</sup>

On January 19, 2021, the Commission issued an Order Regarding Service, directing the Division of Enforcement to file a status report concerning service of the OIP. On February 2, 2021, the Division filed a status report stating that, on August 13, 2019, the Office of the Secretary sent a copy of the OIP to Drake and Drake’s last known counsel of record by certified U.S. mail with return receipt requested, and that the Division “has requested a copy of the return receipt from the Office of the Secretary.” The status report also states that, on February 2, 2021, “Division counsel served Drake at [his current] address by certified mail.” To date, the Division has not filed a declaration of service attaching copies of the return receipts from any of the certified mailings to Drake or his last known counsel.<sup>2</sup>

Accordingly, it is ORDERED that, if the Division has obtained proof of service, it file a declaration of service attaching such proof by May 4, 2021; and, if the Division has not obtained proof of service, it file a status report concerning service of the OIP by May 4, 2021, and every 28 days thereafter until service is accomplished.

The parties’ attention is called to the Commission’s March 18, 2020 order regarding the filing and service of papers, which provides that, pending further order of the Commission,

---

<sup>1</sup> *Jeremy Joseph Drake*, Advisers Act Release No. 5318, 2019 WL 3780057 (Aug. 12, 2019).

<sup>2</sup> *See* Rule of Practice 141(a)(2)(i), 17 C.F.R. 201.141(a)(2)(i) (stating that OIPs may be served on individuals by “sending a copy of the order addressed to the individual by U.S. Postal Service certified, registered, or Express Mail and obtaining a confirmation of receipt”).

parties to the extent possible shall submit all filings electronically at [apfilings@sec.gov](mailto:apfilings@sec.gov).<sup>3</sup> Also, the Commission's Rules of Practice were recently amended to include new e-filing requirements, which took effect on April 12, 2021.<sup>4</sup>

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman  
Secretary

---

<sup>3</sup> *Pending Administrative Proceedings*, Exchange Act Release No. 88415, 2020 WL 1322001 (Mar. 18, 2020), <https://www.sec.gov/litigation/opinions/2020/33-10767.pdf>.

<sup>4</sup> *Amendments to the Commission's Rules of Practice*, 85 Fed. Reg. 86,464, 86,474 (Dec. 30, 2020); *see also* *Amendments to the Commission's Rules of Practice*, Exchange Act Release No. 90442, 2020 WL 7013370 (Nov. 17, 2020); *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. The amendments also impose other obligations on parties to administrative proceedings such as a new redaction and omission of sensitive personal information requirement. *Amendments to the Commission's Rules of Practice*, 85 Fed. Reg. at 86,465–81.