UNITED STATES OF AMERICA before the SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934 Release No. 93869 / December 27, 2021

Admin. Proc. File No. 3-17930r

In the Matter of the Application of

LOUIS OTTIMO

For Review of Disciplinary Action Taken by

FINRA

ORDER EXTENDING TIME TO ISSUE DECISION

The Commission has determined, in its discretion, that it is appropriate to extend by 90 days the period within which the decision in this matter may be issued. Accordingly, IT IS ORDERED that such period be, and hereby is, extended to March 28, 2022.¹

By the Commission.

Vanessa A. Countryman Secretary

¹ March 27, 2022 is a Sunday.