

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 93765 / December 13, 2021

Admin. Proc. File No. 3-20090

In the Matter of

BRIAN BUCKLEY

SUPPLEMENTAL ORDER REGARDING SERVICE

On September 28, 2020, the Securities and Exchange Commission (“Commission”) issued an Order Instituting Proceedings (“OIP”) against respondent Brian Buckley pursuant to Section 15(b) of the Securities Exchange Act of 1934.¹ Although the Division of Enforcement filed a Notice of Appearance on October 7, 2020, it appears there have been no other filings in this proceeding, including as to whether the OIP was served upon Buckley.

On October 20, 2021, the Commission issued an Order Regarding Service.² The order directed the Division of Enforcement to file a status report concerning service of the OIP by November 3, 2021, and every 28 days thereafter until service is accomplished.³ To date, no such status report has been filed, nor has the Division otherwise responded to the October 20 order.

Accordingly, IT IS ORDERED that the Division of Enforcement file a status report concerning service of the OIP by January 10, 2022, and every 28 days thereafter until service is accomplished.

The parties’ attention is directed to the most recent amendments to the Commission’s Rules of Practice, which took effect on April 12, 2021, and which include new e-filing requirements.⁴

¹ *Brian Buckley*, Exchange Act Release No. 90029, 2020 WL 5766747 (Sept. 28, 2020); *see* 15 U.S.C. § 78o(b).

² *Brian Buckley*, Exchange Act Release No. 93392, 2021 WL 4894885 (Oct. 20, 2021).

³ *Id.* at *1.

⁴ *Amendments to the Commission’s Rules of Practice*, Exchange Act Release No. 90442, 2020 WL 7013370 (Nov. 17, 2020), 85 Fed. Reg. 86,464, 86,474 (Dec. 30, 2020), <https://www.sec.gov/rules/final/2020/34-90442a.pdf>; *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. The amendments also impose other obligations

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

such as a new redaction and omission of sensitive personal information requirement. *Amendments to the Commission's Rules of Practice*, 85 Fed. Reg. at 86,465–81.