

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 93392 / October 20, 2021

Admin. Proc. File No. 3-20090

In the Matter of

BRIAN BUCKLEY

ORDER REGARDING SERVICE

On September 28, 2020, the Securities and Exchange Commission (“Commission”) issued an Order Instituting Proceedings (“OIP”) against respondent Brian Buckley pursuant to Section 15(b) of the Securities Exchange Act of 1934.¹ Although the Division of Enforcement filed a Notice of Appearance on October 7, 2020, it appears there have been no other filings in this proceeding, including as to whether the OIP was served upon Buckley. To assist the Office of the Secretary in maintaining a record of service that establishes that the OIP has been properly served,² IT IS ORDERED that the Division of Enforcement file a status report concerning service of the OIP by November 3, 2021, and every 28 days thereafter until service is accomplished.

The parties’ attention is directed to the most recent amendments to the Commission’s Rules of Practice, which took effect on April 12, 2021, and which include new e-filing requirements.³

¹ *Brian Buckley*, Exchange Act Release No. 90029, 2020 WL 5766747 (Sept. 28, 2020).

² *See* Rule of Practice 141(a)(3), 17 C.F.R. § 201.141(a)(3).

³ *Amendments to the Commission’s Rules of Practice*, Exchange Act Release No. 90442, 2020 WL 7013370 (Nov. 17, 2020), 85 Fed. Reg. 86,464, 86,474 (Dec. 30, 2020), <https://www.sec.gov/rules/final/2020/34-90442a.pdf>; *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. The amendments impose other obligations such as a new redaction and omission of sensitive personal information requirement. *Amendments to the Commission’s Rules of Practice*, 85 Fed. Reg. at 86,465-81.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary