

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 92715 / August 20, 2021

Admin. Proc. File No. 3-20362

In the Matter of the Application of

DEEP ATS, LLC,

For Review of Action Taken by FINRA

RENEWED
ORDER
SCHEDULING
BRIEFS

DEEP ATS, LLC, filed an application, pursuant to Section 19(d)(2) of the Securities Exchange Act of 1934,¹ for review of action taken against it by the Financial Industry Regulatory Authority (“FINRA”). On July 7, 2021, the Commission issued an Order Scheduling Briefs (the “Order”) regarding the application for review.² It has now come to our attention that the Order was not served properly on DEEP ATS, LLC.

Given the circumstances, we find it appropriate to renew the Order. Accordingly, IT IS ORDERED that a brief in support of the application for review shall be filed by September 20, 2021. A brief in opposition shall be filed by October 20, 2021, and any reply brief shall be filed

¹ 15 U.S.C. § 78s(d)(2).

² *Deep ATS, LLC*, Exchange Act Release No. 92338, 2021 WL 2827187 (July 7, 2021).

by November 4, 2021.³ Pursuant to Rule 180(c) of the Rules of Practice, failure to file a brief in support of the application may result in dismissal of this review proceeding.⁴

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

³ As provided by Rule 450(a), no briefs in addition to those specified in this schedule may be filed without leave of the Commission. Attention is called to Rule of Practice 450(b) and (c), 17 C.F.R. § 201.450(b) and (c), with respect to content and length limitations, and Rules of Practice 150 - 153, 17 C.F.R. § 201.150 - 153, with respect to form and service. Also, the Commission's Rules of Practice were recently amended to include new e-filing requirements, which took effect on April 12, 2021. *Amendments to the Commission's Rules of Practice*, 85 Fed. Reg. 86,464, 86,474 (Dec. 30, 2020); see also *Amendments to the Commission's Rules of Practice*, Exchange Act Release No. 90442, 2020 WL 7013370 (Nov. 17, 2020); *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. The amendments also impose other obligations such as a new redaction and omission of sensitive personal information requirement. *Amendments to the Commission's Rules of Practice*, 85 Fed. Reg. at 86,465–81.

⁴ 17 C.F.R. § 201.180(c).