

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 92460 / July 21, 2021

ACCOUNTING AND AUDITING ENFORCEMENT
Release No. 4230 / July 21, 2021

Admin. Proc. File No. 3- 20394

In the Matter of
PAUL L. CHANCEY, JR., CPA

ORDER

On July 13, 2021, the Securities and Exchange Commission issued an order instituting an administrative proceeding (“OIP”) against Paul L. Chancey, Jr., CPA (“Respondent”), pursuant to Sections 4C and 21C of the Securities Exchange Act of 1934 and Rule 102(e)(1)(ii) of the Commission’s Rules of Practice.¹ On July 15, 2021, the Division of Enforcement filed a notice of the OIP’s service which stated that the OIP had been emailed to counsel for Respondent and indicated that the OIP had been sent to the Respondent by certified mail. But Commission Rule of Practice 141(a)(2)(i) does not permit service of an OIP by email, and service by certified mail is only effected by confirmation of actual or attempted delivery.² Accordingly, IT IS ORDERED that the Division of Enforcement file a status report concerning service of the OIP by July 28, 2021, and every 28 days thereafter until service is accomplished.

¹ *Paul L. Chancey, Jr., CPA*, Exchange Act Release No. 92378, 2021 WL 2953721 (July 13, 2021).

² 17 C.F.R. § 201.141(a)(2)(i). The Division’s notice states that the OIP was instituted pursuant to Exchange Act Section 15(b), and it sent a copy of its notice to the Office of Administrative Law Judges. We remind the parties that the OIP was instituted pursuant to Exchange Act Sections 4C and 21C and Rule of Practice 102(e), and that the OIP set the matter down for a hearing before the Commission. *Chancey*, 2021 WL 2953721, at *1, 13.

The parties' attention is directed to the most recent amendments to the Commission's Rules of Practice, which took effect on April 12, 2021, and which include new e-filing requirements.³

For the Commission, by the Office of General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

³ *Amendments to the Commission's Rules of Practice*, Exchange Act Release No. 90442, 2020 WL 7013370 (Nov. 17, 2020), 85 Fed. Reg. 86,464, 86,474 (Dec. 30, 2020), <https://www.sec.gov/rules/final/2020/34-90442a.pdf>; *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. The amendments impose other obligations such as a new redaction and omission of sensitive personal information requirement. *Amendments to the Commission's Rules of Practice*, 85 Fed. Reg. at 86,465-81.